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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TAJIRI, M.

Serial No. 10/700,467

Filed: November 5, 2003

For: NONVOLATILE VARIABLE RESISTOR, MEMORY  
DEVICE, AND SCALING METHOD OF NONVOLATILE  
VARIABLE RESISTOR



Atty. Ref.: 4074-10

Group: 2827

Examiner: Lam, D.

\* \* \* \* \*

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- ☐ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action] *N/C*
- ☒ 2. **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] *N/C*
- ☐ 3. **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] *\$180.00*
- ☐ 4. **Pursuant to 37 CFR 1.97(d)**  
[before Issue Fee payment] *\$180.00*

The following are submitted in the above-identified application in compliance with 37  
C.F.R. §§ 1.97 and 1.98:


- ☒ 5. A list of documents on Form PTO-1449 together with copies of each  
identified document and a translation or a concise explanation of each non-  
English language document is enclosed herewith.
  - ☒ a) The English Abstract of JP application no. 10-068096 (JP publication no. 11-  
266043) corresponds to the KR 1999-0077881 reference cited in the Korean  
Office Action.

This paper is submitted in accordance with:

- ☐ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☒ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 9 below.
- ☒ 9. Statement under 37 CFR 1.97(e)
- ☒ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a Korean Office Action mailed September 23, 2005 from the Korean Patent Office in the counterpart Korean application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,  
NIXON & VANDERHYE P.C.

December 20, 2005

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~~INFORMATION DISCLOSURE~~  
~~CITATION~~

(Use several sheets if necessary)

ATTY. DOCKET NO.

4074-10

**APPLICANT**

TAJIRI, M.

FILING DATE

November 5, 2003

**SERIAL NO.**

10/700,467

**GROUP**

2827

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (including Author, Title, Date, Pertinent pages, etc.)

		Korean Office Action mailed September 23, 2005 in corresponding Korean application no. 10-2003-007516
		Patent Abstract of Japan, 11-266043, September 28, 1999

\*Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)